

IKK α Activation of NOTCH Links Tumorigenesis via FOXA2 Suppression

Mo Liu,^{1,2} Dung-Fang Lee,^{1,2,8} Chun-Te Chen,^{1,2,8} Chia-Jui Yen,^{5,8} Long-Yuan Li,^{3,*} Hong-Jen Lee,^{1,2} Chun-Ju Chang,¹ Wei-Chao Chang,^{3,4} Jung-Mao Hsu,^{1,2} Hsu-Ping Kuo,^{1,2} Weiya Xia,¹ Yongkun Wei,¹ Pei-Chun Chiu,³ Chao-Kai Chou,^{1,2} Yi Du,^{1,2} Debanjan Dhar,⁶ Michael Karin,^{6,7} Chung-Hsuan Chen,⁴ and Mien-Chie Hung^{1,2,3,*}

¹Department of Molecular and Cellular Oncology, The University of Texas MD Anderson Cancer Center, Houston, TX 77030, USA

²The University of Texas Graduate School of Biomedical Sciences at Houston, Houston, TX 77030, USA

³Graduate Institute of Cancer Biology, Center for Molecular Medicine, China Medical University, Taichung 404, Taiwan

⁴The Genomics Research Center, Academia Sinica, 128 Academia Road, Section 2, Nankang, Taipei 105, Taiwan

⁵Institute of Clinical Medicine, Department of Internal Medicine, National Cheng Kung University College of Medicine, Number 138, Sheng-Li Road, Tainan City 701, Taiwan

⁶Laboratory of Gene Regulation and Signal Transduction, Department of Pharmacology

⁷Laboratory of Gene Regulation and Signal Transduction, Department of Pathology University of California, San Diego, La Jolla, CA 92093, USA

⁸These authors contributed equally to this work

*Correspondence: lyl@mail.cmu.edu.tw (L.-Y.L.), mhung@mdanderson.org (M.-C.H.)

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SUMMARY

Proinflammatory cytokine TNF α plays critical roles in promoting malignant cell proliferation, angiogenesis, and tumor metastasis in many cancers. However, the mechanism of TNF α -mediated tumor development remains unclear. Here, we show that IKK α , an important downstream kinase of TNF α , interacts with and phosphorylates FOXA2 at S107/S111, thereby suppressing FOXA2 transactivation activity and leading to decreased NUMB expression, and further activates the downstream NOTCH pathway and promotes cell proliferation and tumorigenesis. Moreover, we found that levels of IKK α , pFOXA2 (S107/111), and activated NOTCH1 were significantly higher in hepatocellular carcinoma tumors than in normal liver tissues and that pFOXA2 (S107/111) expression was positively correlated with IKK α and activated NOTCH1 expression in tumor tissues. Therefore, dysregulation of NUMB-mediated suppression of NOTCH1 by TNF α /IKK α -associated FOXA2 inhibition likely contributes to inflammation-mediated cancer pathogenesis. Here, we report a TNF α /IKK α /FOXA2/NUMB/NOTCH1 pathway that is critical for inflammation-mediated tumorigenesis and may provide a target for clinical intervention in human cancer.

INTRODUCTION

The link between inflammation and cancer has long been observed (Balkwill and Mantovani, 2001), and increasing epidemiological evidence has shown that chronic inflammation, such

as liver infection or bowel inflammation, increases the risk of cancer development in certain organs (Castello et al., 2010; Danese and Mantovani, 2010). In addition, numerous studies have shown that inflammatory mediators play critical roles in cancer-related inflammation and promote malignant cell proliferation, angiogenesis, and tumor metastasis in many cancers (Allavena et al., 2008; Karin, 2008).

Recently, many non-I κ B targets of IKK α and IKK β have been identified (Lee and Hung, 2008). Previous studies showed that IKK α can regulate several target genes involved in cell transformation, tumor progression, and angiogenesis (Carayol and Wang, 2006; Luo et al., 2007; Huang et al., 2007). Moreover, upon activation by TNF α stimulation, IKK α accumulates in the nucleus and phosphorylates histone H3 to regulate transcription of target genes (Anest et al., 2003; Yamamoto et al., 2003). However, how IKK α regulates distinct pathways independent to traditional IKK α /IKK β complex has not yet been clearly identified.

FOXA proteins have been shown to play important roles in regulating a wide spectrum of biological processes (Wolfrum et al., 2003; Lee et al., 2005), and many FOXA2 target genes have been identified from global location analysis (Wederell et al., 2008) and conditional gene ablation. Previous reports showed critical roles of FOXA2 in liver-associated diseases (Bochkis et al., 2008; Lehner et al., 2007) and showed that inflammatory stimuli-induced loss of FOXA2 is involved in inflammation-associated obstructive pulmonary diseases (Whitsett et al., 2011). Although dysregulation of FOXA2 has been directly linked to the progression of certain cancers, the role of FOXA2 in tumor progression is not clear.

NOTCH proteins play fundamental roles in cell fate decisions (Hsieh et al., 1996; Kimble and Crittenden, 2007; Tanigaki and Honjo, 2007). Once released from the extracellular part of the molecule, the NOTCH intracellular domain (NICD) translocates into the nucleus to activate transcription of target genes (Iso et al., 2003). Aberrant expression of the dominant active cytoplasmic domain of NOTCH receptors through chromosomal

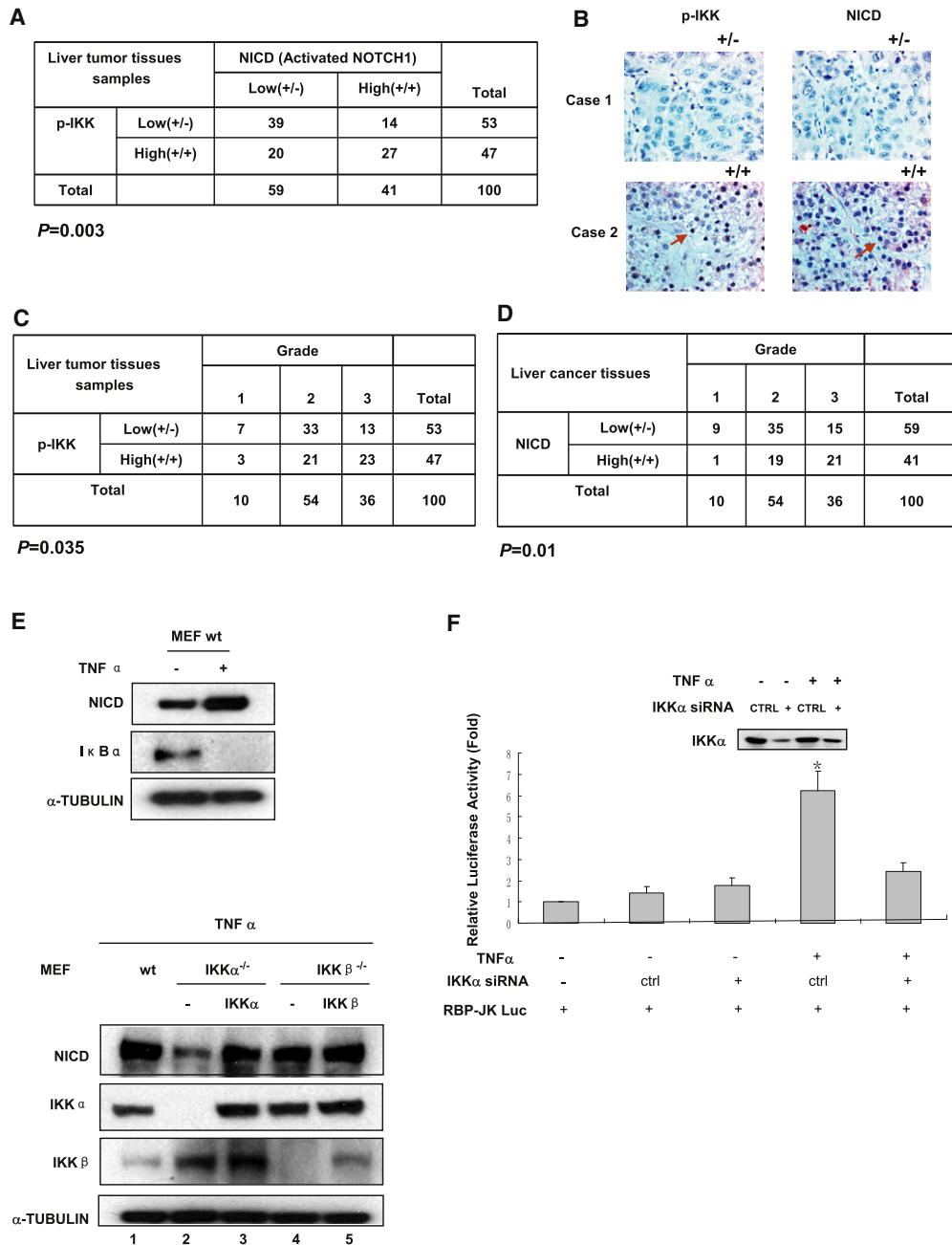


Figure 1. TNF α -Induced NOTCH1 Expression Requires IKK α

(A) Correlation between levels of p-IKK α / β and activated NOTCH1 (NICD) in 100 human primary p-HCC tumor specimens stained with antibodies specific to NICD and p-IKK ($p = 0.003$, Pearson's chi-square test).

(B) Two representative specimens from (A); arrows point to p-IKK and NICD.

(C) Correlation between tumor grade and p-IKK expression. High p-IKK expression correlated significantly with higher tumor grade ($p = 0.035$, Pearson's chi-square test).

(D) Correlation between expression of p-IKK, NICD, and tumor grade. High NICD expression correlated significantly with higher tumor grade ($p = 0.01$, Pearson's chi-square test).

(E) (Top) WT MEFs were treated with or without TNF α (20 ng/ml) for 24 hr, and cell lysates were subjected to western blot analysis for NICD expression. (Bottom) IKK α and IKK β were transfected into IKK α ^{-/-} MEFs and IKK β ^{-/-} MEFs, respectively. After treatment with TNF α (20 ng/ml) for 24 hr, cell lysates were subjected to western blotting. Lysates from MEFs without TNF α treatment served as control. α -tubulin was used as loading control.

(F) RBP-Jk Luc and IKK α siRNA were transfected into Hep3B cells for 48 hr. After transfection, WT and IKK α knockdown Hep3B cells were treated with TNF α for 24 hr. Cell lysates were subjected to western blotting and luciferase reporter assay. Cells were transfected with nontargeting siRNA as control. Error bars represent SD ($n = 3$). * $p < 0.05$.

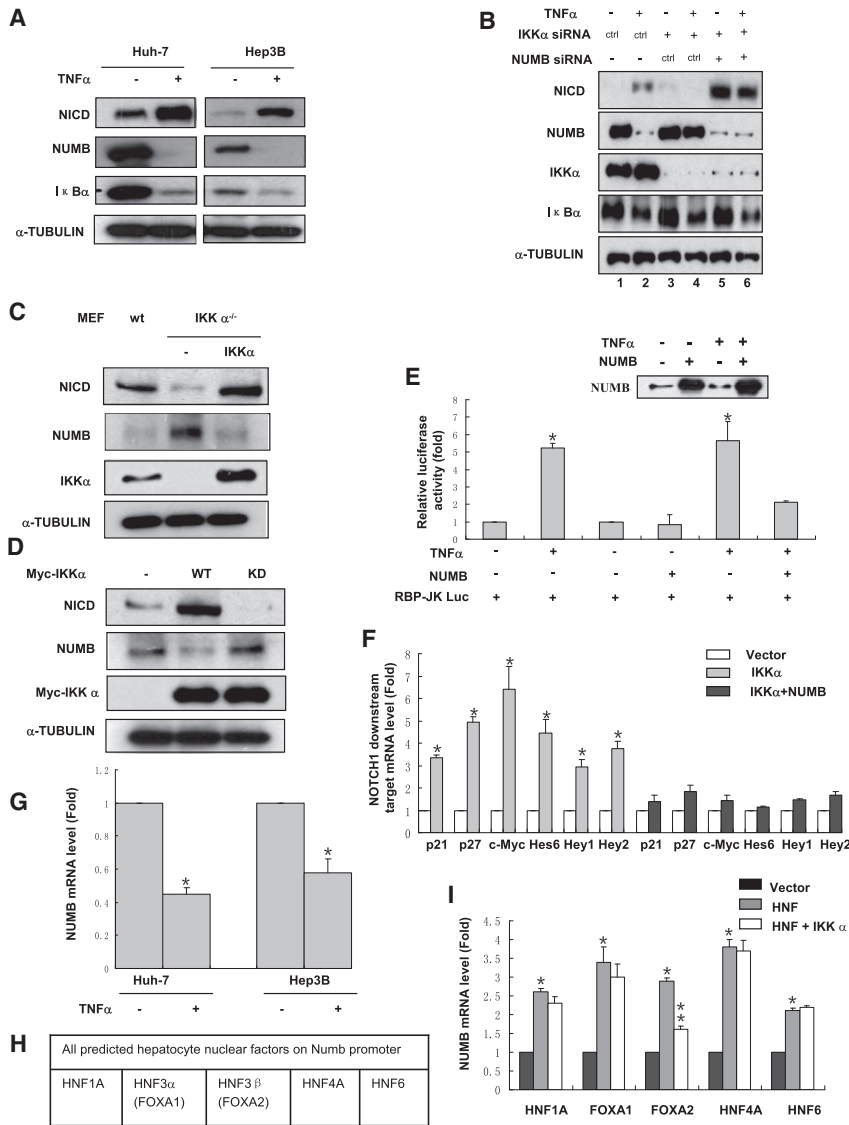


Figure 2. IKK α -Mediated NOTCH1 Activation Requires NUMB

(A) Hep3B and Huh-7 cells were treated with TNF α (20 ng/ml) for 24 hr. Endogenous NICD and NUMB expression levels were examined by western blotting. α -tubulin was used as loading control.

(B) Knockdown of IKK α or double knockdown of IKK α and NUMB by siRNA in Hep3B cells. Non-targeting siRNA was used as control. After 24 hr of TNF α treatment, cell lysates (30 μ g) were subjected to western blotting to detect endogenous NICD and NUMB expression. α -tubulin was used as loading control.

(C) Western blot analysis of endogenous NICD and NUMB expression in WT MEFs and IKK α ^{-/-} MEFs with or without reconstituted IKK α . α -tubulin was used as loading control.

(D) Hep3B cells were transfected with WT IKK α or KD IKK α . Endogenous NICD and NUMB expression was analyzed by western blotting. α -tubulin was used as loading control.

(E) RBP-Jk Luc and NUMB were transfected into Hep3B cells for 48 hr. After transfection, Hep3B cells were treated with TNF α (20 ng/ml) for 24 hr. Cell lysates were subjected to the luciferase reporter assay. NUMB expression was analyzed by western blotting with 10 μ g of total lysates. Error bars represent SD (n = 3). * indicates statistical significance (p < 0.05).

(F) Hep3B cells were infected with retrovirus expressing IKK α or IKK α and NUMB. RNA extracts were purified from the cell lysates and quantitated with real-time PCR. The NOTCH1 target gene mRNA levels were normalized to the mRNA levels of target genes in vector-infected cells. Error bars represent SD (n = 3). * indicates statistical significance (p < 0.05).

(G) Hep3B and Huh-7 cells were treated with TNF α (20 ng/ml) for 24 hr. NUMB mRNA level was detected with qPCR. Error bars represent SD (n = 3). * indicates statistical significance (p < 0.05).

(H) The TRANSFAC 7.0 and PROMO 8.3 programs were used to predict transcriptional factors that bind to the NUMB promoter. Five HNFs were identified from the prediction.

(I) Each of the five indicated HNFs was transfected alone or together with IKK α into Hep3B cells. NUMB mRNA level was detected with real-time PCR. Error bars represent SD (n = 3). * indicates statistical significance (p < 0.05).

translocations or mutations in hematopoietic cells leads to cell-autonomous oncogenic activation of NOTCH (Medyouf et al., 2010).

NUMB is an important determinant of asymmetric cell division in mammalian development. Recent studies have demonstrated that NUMB acts as a tumor suppressor by inhibiting NOTCH signaling and that a loss of NUMB leads to increased NOTCH activity and confers a NOTCH-dependent proliferative advantage in several cancers (Westhoff et al., 2009; Karaczyn et al., 2010).

In this study, we demonstrated a relationship between two well-defined cancer-associated pathways, NOTCH and TNF α signaling, and showed that expression of IKK α , but not of

IKK β , is associated with NOTCH1 activation. We showed that in response to TNF α stimuli, IKK α interacts with and phosphorylates FOXA2 at S107/111. We found that both in vivo and in vitro, phosphorylated FOXA2 loses its transactivation activity toward its downstream target gene, NUMB, and therefore promotes cell growth and tumorigenesis by activating NOTCH signaling. Importantly, our analysis showed that expression levels of IKK α , pFOXA2 (S107/111), and activated NOTCH1 are significantly higher in clinical hepatocellular carcinoma tumor specimens than in paired normal liver tissues and that phosphorylated FOXA2 expression is positively correlated with IKK α and activated NOTCH1 expression in tumor tissues. Therefore, dysregulation of NUMB-mediated suppression of NOTCH1 by

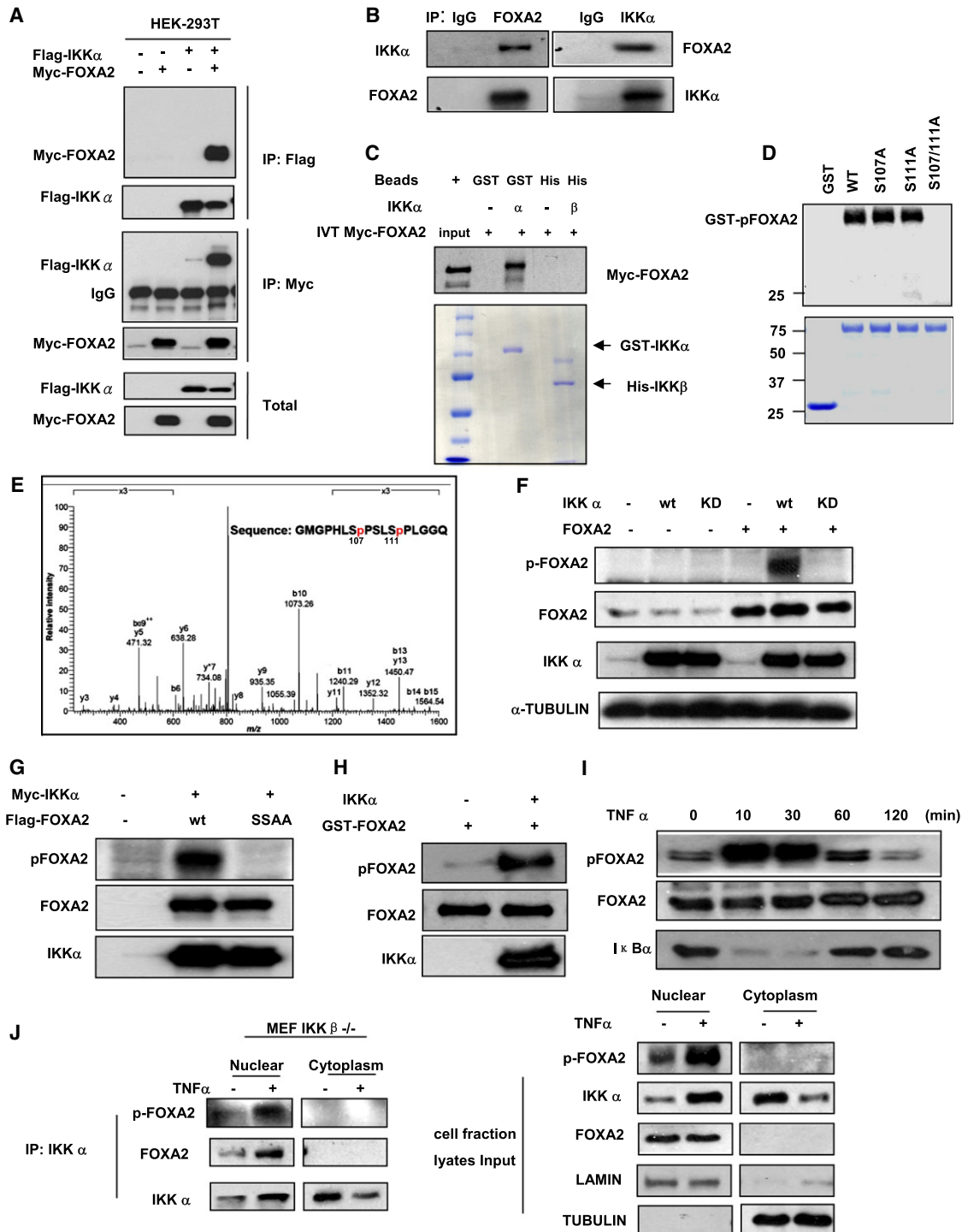


Figure 3. IKK α Interacts with and Phosphorylates FOXA2 In Vivo and In Vitro

(A) IKK α and FOXA2 were transfected into 293T cells and analyzed by reciprocal coimmunoprecipitation and immunoblotting using indicated antibodies.

(B) Lysates of Hep3B cells were analyzed by reciprocal coimmunoprecipitation and immunoblotting using indicated antibodies.

(C) In vitro-transcribed FOXA2 proteins (labeled with S35) were incubated with commercially available recombinant His-IKK β and GST-IKK α and then pulled down with His/GST beads. IVT, in vitro transcription and translation. S35 concentration in cell lysates was determined by film exposure.

(D) FOXA2 phosphorylation was identified by in vitro kinase assay. GST-FOXA2 was pulled down by GST beads from Hep3B cells and incubated with commercially available IKK α for 30 min.

(E) Mass spectrum of FOXA2 phosphorylation sites by IKK α using samples (without isotope) from (D).

TNF α /IKK α -associated FOXA2 inhibition likely contributes to inflammation-mediated cancer pathogenesis.

RESULTS

TNF α -Induced NOTCH1 Activation Requires IKK α

The proinflammatory factor TNF α and NOTCH1 signaling are known to be essential in cell proliferation and tumorigenesis. However, the critical regulatory mechanism linking TNF α to NOTCH1 activation in inflammation-induced HCC progression remains unclear. To address a potential relationship between these two components in inflammation-induced HCC tumorigenesis, we examined the level of p-IKK, which is an important downstream kinase of TNF α , and activated NOTCH1 in 100 human primary HCC tumor specimens by immunohistochemical (IHC) staining. We detected NICD in 27 of the 47 specimens with high p-IKK expression, indicating a significant positive correlation between p-IKK and NICD ($p = 0.003$, Figures 1A and 1B). In addition, both p-IKK and NICD had higher expression levels in higher-grade tumors (Figures 1C and 1D). To determine whether this relationship holds in other cancers, we examined human primary tumor specimens of colon, lung, and prostate cancer by IHC staining. Similar to our finding in liver tumors, we also found a positive correlation between p-IKK and NICD (see Figure S1 available online).

The clinical correlations from Figures 1A–1D led us to ask whether TNF α /IKK might upregulate NICD expression. To validate the relationship between TNF α and NICD expression, we treated mouse embryonic fibroblasts (MEFs) with TNF α (Figure 1E). Indeed, using a specific antibody recognizing only NICD and not its NOTCH1 precursor, we found that TNF α increased NICD expression in MEFs (Figure 1E, top). To further investigate whether IKK α and/or IKK β regulates NICD expression, we treated wild-type (WT), IKK α ^{-/-}, and IKK β ^{-/-} MEFs with TNF α and compared their NICD expression. Interestingly, the NICD level was substantially lower in IKK α ^{-/-} MEFs than in WT MEFs (Figure 1E, bottom; compare lanes 1 and 2). Reexpression of IKK α in the IKK α ^{-/-} MEFs rescued NICD expression (Figure 1E, bottom; compare lanes 2 and 3). However, the NICD level was not affected by reexpression of IKK β in IKK β ^{-/-} MEFs (Figure 1E, bottom; compare lane 1 with lanes 4 and 5). Together, these results indicate that TNF α -induced NICD expression requires IKK α but not IKK β , suggesting that TNF α may stimulate NOTCH1 activation through an IKK α -dependent pathway.

To further confirm that IKK α is required for TNF α -mediated increase of NICD expression, we performed a RBP-Jk reporter

luciferase assay, which is designed to monitor the activity of NOTCH1 signal transduction pathways in cultured cells (McGill and McGlade, 2003; Carroll et al., 2006). To ensure the efficiency of IKK α siRNA knockdown, we first analyzed IKK α expression by western blot analysis (Figure 1F, top). RBP-Jk reporter luciferase assay showed that NOTCH1 is activated by TNF α stimulation and that knocking down IKK α blocked TNF α -induced RBP-Jk reporter activation (Figure 1F).

TNF α /IKK α -Induced NOTCH1 Activation Requires Inactivation of FOXA2 and Downregulation of NUMB

Next, we asked how TNF α /IKK α regulates NOTCH1 activation. As NUMB is known to inhibit NOTCH1 activation, we first tested whether NUMB is involved in TNF α /IKK α -mediated NOTCH1 activation. We found that TNF α treatment upregulated NICD expression and downregulated NUMB expression in both Huh-7 and Hep3B in HCC cell lines (Figure 2A). Because we observed similar results from both cell lines in most of the experiments, we only present results from Hep3B cells. Consistent with our results from IKK α or IKK β knockout MEFs (Figure 1E, bottom), ectopic expression of IKK α but not IKK β increased NICD expression and diminished NUMB expression (Figure S2). Knockdown of IKK α by siRNA impaired TNF α -mediated NUMB downregulation and subsequent NOTCH1 activation (Figure 2B, compare lanes 2 and 4). Knockdown of both IKK α and NUMB restored NICD expression (Figure 2B, compare lanes 4 and 6), suggesting that TNF α /IKK α -mediated NOTCH1 activation involves NUMB downregulation. We observed similar results when we used two different siRNAs individually targeting IKK α and NUMB to exclude potential off-target effects of siRNA (data not shown). To further elucidate the relationships among IKK α , NUMB, and NICD, we analyzed the MEFs by western blotting and found higher NUMB expression and lower NICD expression in IKK α ^{-/-} MEFs than in WT MEFs (Figure 2C). Reexpression of IKK α in IKK α ^{-/-} MEFs downregulated NUMB expression and rescued NICD expression accordingly (Figure 2C).

As IKK α is a well-known kinase, we next asked whether the kinase activity of IKK α is required for IKK α -mediated NUMB repression. We found that WT IKK α , but not kinase-dead (KD) IKK α mutant, downregulated NUMB expression (Figure 2D), suggesting that IKK α kinase activity is required for IKK α -mediated NUMB downregulation and NOTCH1 activation. In addition, the results of the RBP-Jk luciferase assay showed that TNF α -induced NOTCH1 activation was blocked by overexpression of NUMB (Figure 2E). To determine whether IKK α -induced NOTCH1 activation affects transcriptionally regulated

(F) Hep3B cells were cotransfected with FOXA2 and WT IKK α or KD IKK α . pFOXA2 expression was detected using an antibody specific to pFOXA2 (S107/111). Cell lysates (10 μ g) were loaded for western blotting.

(G) IKK α was cotransfected with WT FOXA2 or FOXA2-SSAA. pFOXA2 (S107/111) was detected using an antibody specific to pFOXA2 (S107/111). Total FOXA2 expression and IKK α expression are also shown.

(H) Western blot analysis of pFOXA2 with an antibody specific to pFOXA2 (S107/111) using samples (without isotope) from (D). Total FOXA2 expression and IKK α expression are also shown.

(I) Hep3B cells were treated with TNF α and harvested at 10, 30, 60, and 120 min after treatment. Cell lysates (40 μ g) were used for western blot analysis of pFOXA2 with an antibody specific to pFOXA2 (S107/111). Total FOXA2 expression and IKK α expression are also shown.

(J) IKK β ^{-/-} MEFs were treated with TNF α for 45 min. Cell lysates were subjected to cell fractionation. After immunoprecipitation by the IKK α antibody, the nuclear and cytoplasmic fractions were subjected to western blot analysis with indicated antibodies. Lamin and tubulin were used as cell fractionation controls.

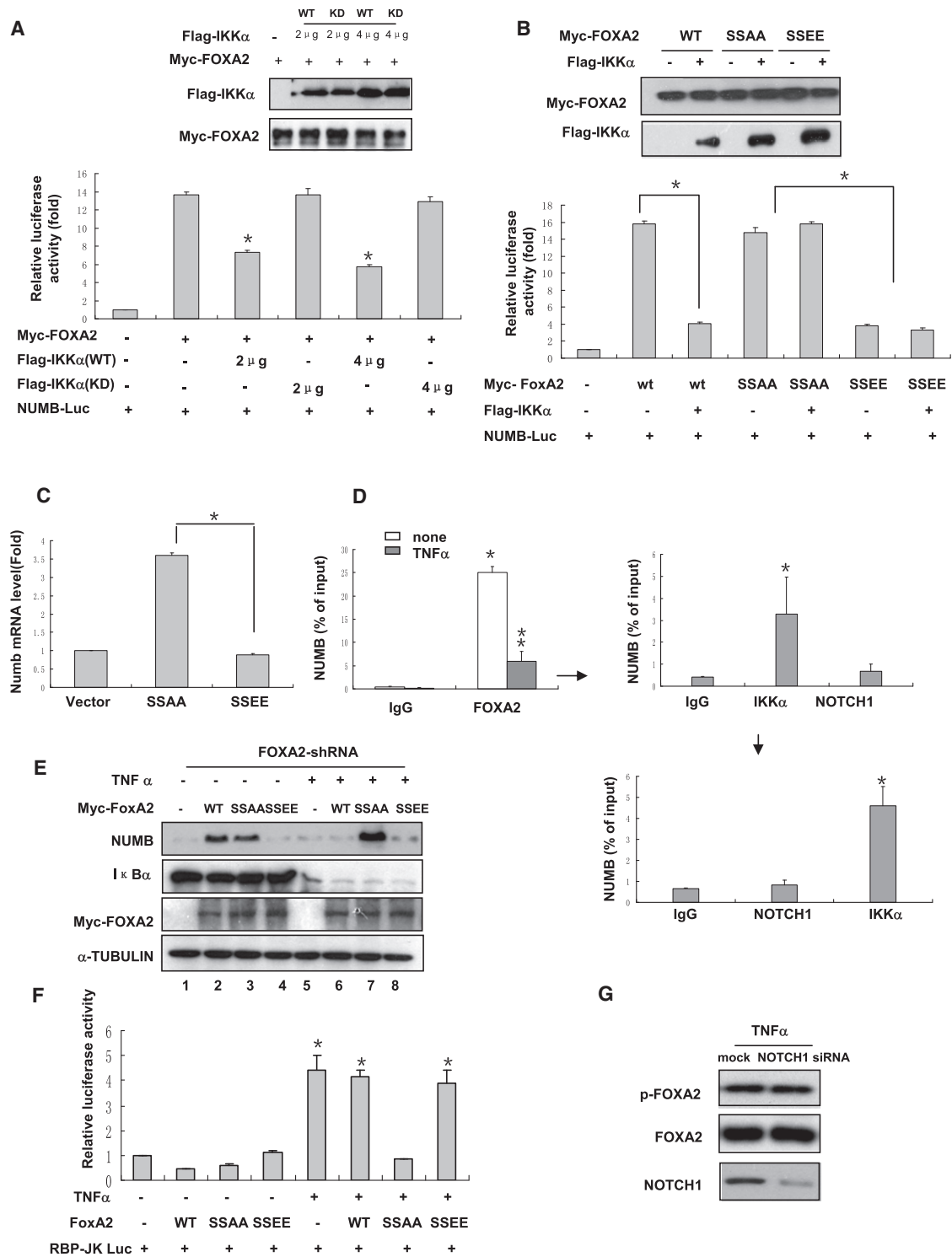


Figure 4. FOXA2 Phosphorylation by IKK α Suppresses FOXA2 Transactivation Activity

(A) Numb promoter luciferase reporter and FOXA2 in the presence of different doses of WT IKK α or KD IKK α were transfected into 293T cells for 48 hr. Cell lysates were subjected to luciferase reporter assays after transfection. Expression levels of WT IKK α , KD IKK α , and FOXA2 were analyzed by western blotting. Error bars represent SD (n = 3). * indicates statistical significance (p < 0.05).

(B) Cotransfection of Numb-promoter Luc and WT FOXA2, FOXA2-SSAA, or FOXA2-SSEE plus the indicated Flag-tagged IKK α in 293T cells for 48 hr. Lysates of 293T cells were subjected to luciferase assays. Error bars represent SD (n = 3). * indicates statistical significance (p < 0.05). Expression levels of IKK α , WT FOXA2, and FOXA2 mutants were detected by western blot analysis.

NOTCH1 downstream target genes (e.g., *c-Myc*, *p21*, *p27*, and the *HEY* family), we measured the change in RNA levels of *c-Myc*, *p21*, *p27*, *Hes6*, *Hey1*, and *Hey2* by real-time PCR. The mRNA levels of NOTCH1 downstream targets increased with IKK α but were attenuated in the presence of NUMB (Figure 2F). Together, these results indicate that TNF α /IKK α activates NOTCH1 through downregulation of NUMB.

To investigate how TNF α /IKK α downregulates NUMB expression, we measured NUMB mRNA level with quantitative PCR (qPCR) in response to TNF α treatment in both Huh-7 and Hep3B cells. We found that TNF α treatment also downregulated NUMB at the mRNA level (Figure 2G). Next, we asked whether TNF α /IKK α signaling might suppress NUMB promoter activity. Analysis of the NUMB promoter with the PROMO 8.3 program (Messeguer et al., 2002; Farre et al., 2003) revealed five types of hepatocyte nuclear factor (HNF) binding sites located within the NUMB promoter (Figure 2H). As HNFs have been implicated in regulating cell growth in multiple human cancers (Hayashi et al., 1999), we further investigated whether HNFs regulate NUMB transcription. Cotransfecting each of the five types of HNFs indeed enhanced the NUMB mRNA level (Figure 2I). Interestingly, when IKK α was coexpressed in the transfection experiments, only FOXA2 (HNF3 β)-upregulated NUMB mRNA level was reduced, indicating that FOXA2-activated NUMB transcription can be inhibited by IKK α (Figure 2I). Collectively, these results indicate that FOXA2 increases NUMB expression and that inhibition of FOXA2 activity by TNF α results in downregulation of NUMB and activation of NOTCH1.

IKK α Interacts with and Phosphorylates FOXA2 at S107 and S111

To determine how IKK α inhibits FOXA2 activity, we first tested whether IKK α interacts with FOXA2. Reciprocal coimmunoprecipitation showed that IKK α physically associated with FOXA2 (Figure 3A). We also observed this interaction between endogenous IKK α and FOXA2 using specific antibodies against IKK α and FOXA2 (Figure 3B). In addition, GST or His pull-down assays further supported the association of IKK α , but not of IKK β , with FOXA2 (Figure 3C). Given the physical interaction between IKK α and FOXA2, we examined whether FOXA2 is a physiological substrate of IKK α . An in vitro kinase assay demonstrated that GST-FOXA2, but not GST protein, was phosphorylated by purified recombinant IKK α (Figure 3D, compare lanes 1 and 2). To

determine which amino acid residue is phosphorylated by IKK α , we analyzed the GST-pFOXA2 fusion protein by mass spectrometry (MS) and found that FOXA2 was phosphorylated by IKK α at S107 and S111 (Figure 3E). Mutation of these two sites from serine to alanine abolished IKK α -mediated FOXA2 phosphorylation (Figure 3D, lane 5). Taken together, these data demonstrate that IKK α interacts with and phosphorylates FOXA2 at S107/111 in vitro.

To determine whether these phosphorylations also occur in vivo, we treated Hep3B cells with TNF α and isolated endogenous FOXA2 for MS analysis. We identified the same two phosphorylation sites (Figure S3). In addition, we raised mouse polyclonal antibodies against pFOXA2 at S107/111 and used the antibody to study FOXA2 phosphorylation status. The antibody recognized specifically pFOXA2 (S107/111) phosphorylated by WT IKK α but not by KD IKK α (Figure 3F). The pFOXA2 antibody also recognized phosphorylated WT FOXA2 but not the double mutant with both serine residues mutated to alanine (FOXA2-SSAA), further supporting its specificity (Figure 3G). In addition, the pFOXA2 (S107/111) antibody detected pFOXA2 only in the presence of IKK α in an in vitro kinase assay (Figure 3H). Time course assays to study the effect of TNF α showed that levels of pFOXA2 (S107/111) were high at 10–30 min and declined thereafter (Figure 3I), consistent with the kinetics of TNF α -induced IKK α activation as measured by degradation of I κ B α .

TNF α stimulates IKK α translocation into the nucleus (Anest et al., 2003; Yamamoto et al., 2003). Thus, to determine whether IKK α phosphorylates FOXA2 in the nucleus, we treated IKK β ^{-/-} MEFs with TNF α and isolated the nuclear and cytoplasmic fractions from the cells for immunoprecipitation by the IKK α antibody. We found that stimulation of IKK β ^{-/-} MEFs with TNF α increased nuclear accumulation of IKK α , the amount of FOXA2 bound to IKK α , and phosphorylation of FOXA2 (S107/111). However, we did not detect either pFOXA2 or FOXA2 expression in the cytoplasm after TNF α treatment, suggesting that TNF α increased the nuclear accumulation of IKK α for phosphorylation of FOXA2 (Figure 3J).

FOXA2 Phosphorylation by IKK α Suppresses FOXA2 Transactivation Activity

On the basis of our observations that IKK α phosphorylates FOXA2 (Figure 3) and inhibits NUMB expression (Figure 2), we hypothesized that FOXA2 phosphorylation by IKK α suppresses

(C) Hep3B cells were infected with retrovirus expressing FOXA2-SSAA or FOXA2-SSEE. RNA extracts from the cells were isolated and subjected to real-time RT-PCR for NUMB mRNA expression. Error bars represent SD (n = 3). * indicates statistical significance (p < 0.05).

(D) Hep3B cells were treated with TNF α for 24 hr, and cell lysates were harvested and subjected to primary ChIP assay using the FOXA2 antibody. The purified DNA from the ChIP assay was subjected to real-time PCR using NUMB primers (to left of arrow). The immunoprecipitated products of Hep3B cells (with TNF α treatment) were desalted and re-ChIPed by IKK α and NOTCH1 antibody. Real-time PCR using NUMB primers was repeated using the purified DNA from the ChIP assay (to right of arrow). The products from the second immunoprecipitation were desalted again and resubjected to re-ChIP assay by NOTCH1 and IKK α (as a positive control). The purified DNA from ChIP assay was subjected to real-time PCR using NUMB primers (below downward arrow). Error bars represent SD (n = 3). * indicates statistical significance (p < 0.05).

(E) Endogenous FOXA2 in Hep3B cells was knocked down by shRNA against the 5'UTR. After shRNA knockdown of FOXA2, we transfected WT FOXA2, FOXA2-SSAA, or FOXA2-SSEE into FOXA2^{-/-} Hep3B cells, followed by TNF α treatment for 24 hr. Cell lysates were analyzed by western blotting using indicated antibodies.

(F) RBP-Jk Luc and WT FOXA2, FOXA2-SSAA, or FOXA2-SSEE were cotransfected into Hep3B cells for 24 hr, followed by TNF α treatment for 24 hr. Cell lysates were analyzed by luciferase reporter assay. Error bars represent SD (n = 3). * indicates statistical significance (p < 0.05).

(G) NOTCH1 expression was knocked down by NOTCH1 siRNA in Hep3B cells, which were treated with TNF α for 24 hr, and lysates were analyzed by western blotting.

FOXA2 transactivation activity and therefore inhibits NUMB expression and activates the NOTCH pathway. To test this hypothesis, we examined the effect of IKK α on the FOXA2-dependent transcriptional activation of a NUMB promoter containing FOXA2 binding sites that drive the luciferase reporter gene. Only WT IKK α , not KD IKK α , inhibited FOXA2-induced NUMB promoter activity (Figure 4A). We obtained similar results when a construct containing FOXA2-responsive elements (FREs) to drive the luciferase reporter was introduced into 293T cells (Figure S4A).

To test whether IKK α inhibits FOXA2 activity through the identified IKK α phosphorylation sites (S107/111), we generated two double mutants, FOXA2-SSAA and FOXA2-SSEE, which mimic the nonphosphorylated and phosphorylated states of FOXA2, respectively, by IKK α . FOXA2-SSAA still activated the promoter activity, but FOXA2-SSEE lost its transactivation activity (Figure 4B). In addition, unlike WT FOXA2, the transactivation activities of the two mutants were not affected by the presence of IKK α , suggesting that phosphorylation of FOXA2 at S107/111 impaired FOXA2 transactivation activity (Figure 4B and Figure S4B). These results support the notion that IKK α decreased FOXA2 transactivation activity by phosphorylating FOXA2 at S107/111. We then asked whether the decreased transactivation activity of FOXA2 by S107/111 phosphorylation also affects NUMB expression. We examined the NUMB mRNA level by transfecting various FOXA2 constructs expressing WT FOXA2, FOXA2-SSAA, FOXA2-SSEE, or vector control into Hep3B cells. We found that FOXA2-SSAA, but not FOXA2-SSEE, enhanced NUMB mRNA level (Figure 4C). These results further support the notion that S107/111 phosphorylation inhibits FOXA2 transactivation activity.

To investigate the molecular mechanism of IKK α -mediated inhibition of FOXA2 activity, we first examined the difference in the binding ability of FOXA2 to the NUMB promoter before and after TNF α treatment. We performed a chromatin immunoprecipitation (ChIP) assay using primers spanning the putative FOXA2 binding sites (see primer sequences in the [Experimental Procedures](#)) in the NUMB promoter from Hep3B cell lysates with or without TNF α treatment. We found that binding of FOXA2 to the NUMB promoter was decreased by TNF α (Figure 4D, to left of arrow). In addition, we compared the binding ability of WT FOXA2, FOXA2-SSAA, and FOXA2-SSEE to the NUMB promoter by ChIP and found that WT FOXA2 and FOXA2-SSAA, but not FOXA2-SSEE, bound to the NUMB promoter (Figure S4C), suggesting that FOXA2 phosphorylation by IKK α suppressed FOXA2 transactivation activity on NUMB by decreasing FOXA2 accessibility to its promoter.

To further support the role of S107/111 phosphorylation of FOXA2 in the regulation of NUMB expression, we tested the function of FOXA2-SSAA and FOXA2-SSEE in FOXA2 knock-down Hep3B cells. In the absence of TNF α , both WT FOXA2 and FOXA2-SSAA, but not FOXA2-SSEE, stimulated NUMB protein level (Figure 4E). TNF α suppressed FOXA2-induced NUMB expression in the presence of WT FOXA2 (Figure 4E, compare lanes 2 and 6) but not of FOXA2-SSAA (compare lanes 3 and 7). Moreover, FOXA2-SSEE was insensitive to TNF α treatment (lane 8), suggesting that S107/111 phosphorylation of FOXA2 by TNF α -activated IKK α contributes to inactivation of

FOXA2-induced NUMB expression. Consistently, NOTCH1 activity was also inhibited by FOXA2-SSAA as a result of NUMB expression, as measured by luciferase reporter assay (Figure 4F). Collectively, our results indicate that S107/111 phosphorylation of FOXA2 by IKK α suppresses FOXA2 transactivation activity by reducing FOXA2's ability to bind to the NUMB promoter, and therefore decreases NUMB expression at the mRNA and protein level to activate the NOTCH1 pathway.

It is worth mentioning that NOTCH1 has been shown to associate with IKK α and is required at IKK α -stimulated promoters (Song et al., 2008). To investigate whether FOXA2 phosphorylation by IKK α could be affected by NOTCH1 binding, we treated NOTCH1 knockdown cells with TNF α . We detected no change in FOXA2 phosphorylation level (Figure 4G). In addition, we immunoprecipitated the complexes by FOXA2 with TNF α (from Figure 4D) and eluted them with re-ChIP buffer (please see the [Experimental Procedures](#) for detailed ChIP-re-ChIP protocol). We found that IKK α and FOXA2, but not NOTCH1, bound to the same NUMB promoter (Figure 4D, to right of arrow). To ensure that the IKK α detected in the second ChIP was free of NOTCH1, we reimmunoprecipitated the eluate from the second ChIP by NOTCH1 antibody and IKK α antibody as a positive control (Figure 4D, below downward arrow). We found that IKK α , but not NOTCH1, bound to the FOXA2/NUMB promoter complex. Together, these results suggest that the FOXA2/IKK α complex, without NOTCH1, binds to the NUMB promoter.

FOXA2 Phosphorylation by IKK α Derepresses FOXA2-Mediated Repression of Cell Growth In Vitro

Next, to determine whether FOXA2 phosphorylation by IKK α might contribute to the biological activity of FOXA2, we examined the function of IKK α -induced FOXA2 phosphorylation in cell growth by 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay. We found that expression of FOXA2-SSAA, but not of FOXA2-SSEE, antagonized cell growth (Figure 5A). WT IKK α , but not KD IKK α , abrogated WT FOXA2-mediated growth suppression, presumably as a result of phosphorylation of WT FOXA2 by IKK α , and thus FOXA2 lost its ability to inhibit cell growth (Figure S5A). Knocking down NUMB reversed the cell growth inhibition by WT FOXA2 and FOXA2-SSAA, supporting our proposed suppressive role of NUMB in the TNF α /IKK α pathway (Figure 5B). In addition, when NOTCH1 was knocked down in Hep3B cells, cell growth was inhibited regardless of FOXA2 phosphorylation status, demonstrating that NOTCH1 was indeed required for cell growth (Figure 5C). When we treated nine liver cancer cell lines with two NOTCH1 pathway inhibitors, LY-411575 and γ -secretase inhibitor (GSI), cell growth decreased, further supporting the notion that NOTCH1 activation plays an important role in liver cancer cell growth (Figure S5B). Consistent with our results above (Figure 5A), FOXA2-SSAA, but not FOXA2-SSEE, inhibited cell colony formation in an anchorage-independent growth assay (Figure 5D). Knocking down NUMB allowed colonies to form even in the presence of FOXA2-SSAA (Figure 5D). In contrast, when NOTCH1 was knocked down, colony formation was inhibited regardless of FOXA2 phosphorylation status (Figure 5E).

To verify the status of DNA synthesis under these conditions, we also performed a bromodeoxyuridine (BrdU) incorporation

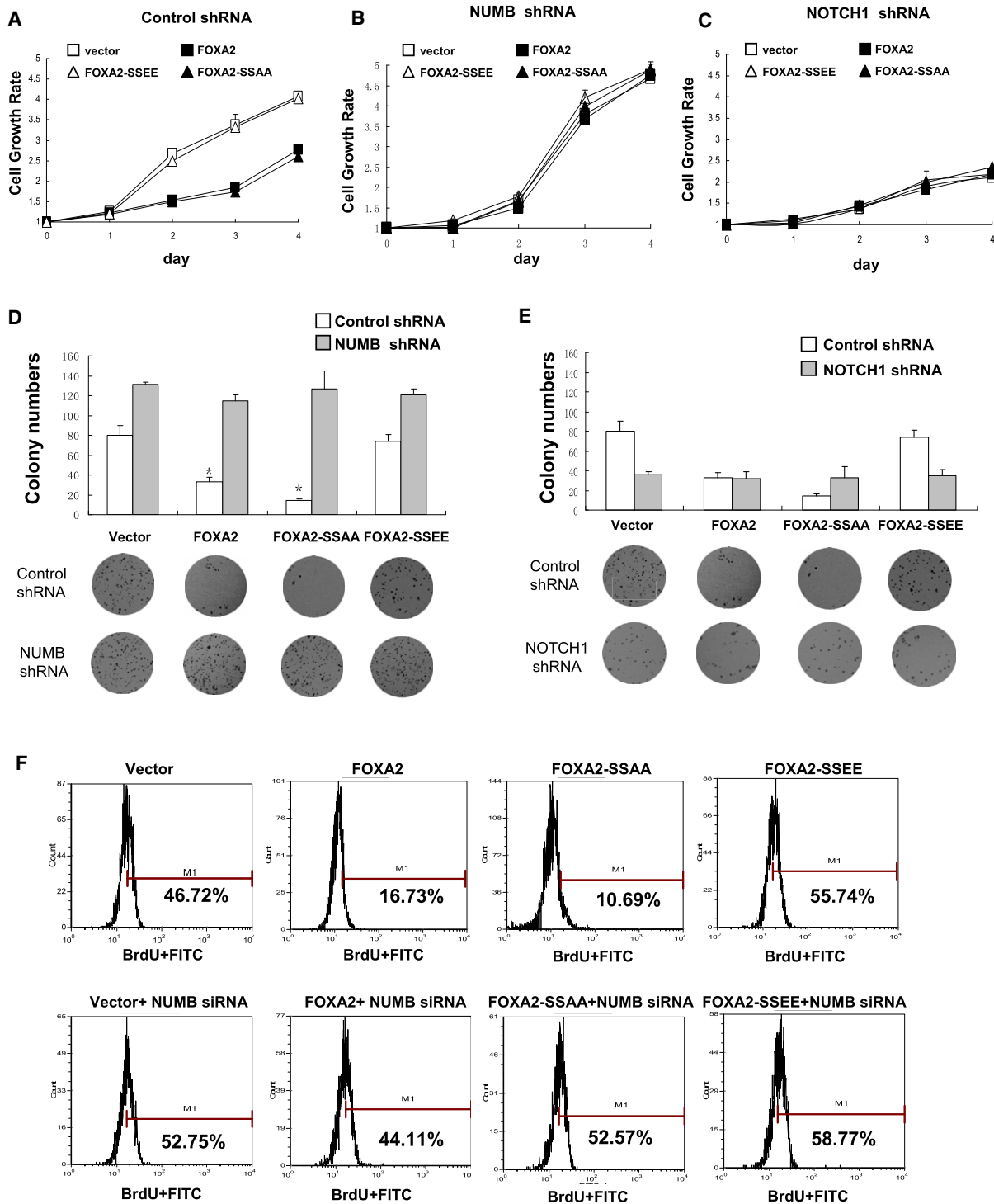


Figure 5. FOXA2 Blocks IKK α -Induced Cell Proliferation and Tumor Growth In Vitro

(A–C) Hep3B cells were infected with retrovirus expressing WT FOXA2, FOXA2-SSAA, or FOXA2-SSEE in the presence of (A) control shRNA, (B) NUMB shRNA, or (C) NOTCH1 shRNA. Cells (2.5×10^3) were plated in 96-well plates, and cell growth was determined by MTT assay each day for 4 days.

(D and E) Anchorage-independent growth assay of Hep3B cells infected with retrovirus expressing WT FOXA2, FOXA2-SSAA, or FOXA2-SSEE in the presence of (D) NUMB shRNA or (E) NOTCH1 shRNA, compared with control shRNA. Colony numbers (mean \pm SD) in week 3 are shown ($n = 6$). * indicates statistical significance ($p < 0.05$, Student's *t* test). Representative images from the anchorage-independent growth assay are shown below.

(F) Hep3B cells were infected with retrovirus expressing WT FOXA2, FOXA2-SSAA, or FOXA2-SSEE either without (top) or with (bottom) NUMB shRNA. Infected cells were subjected to BrdU assay and analyzed by flow cytometry.

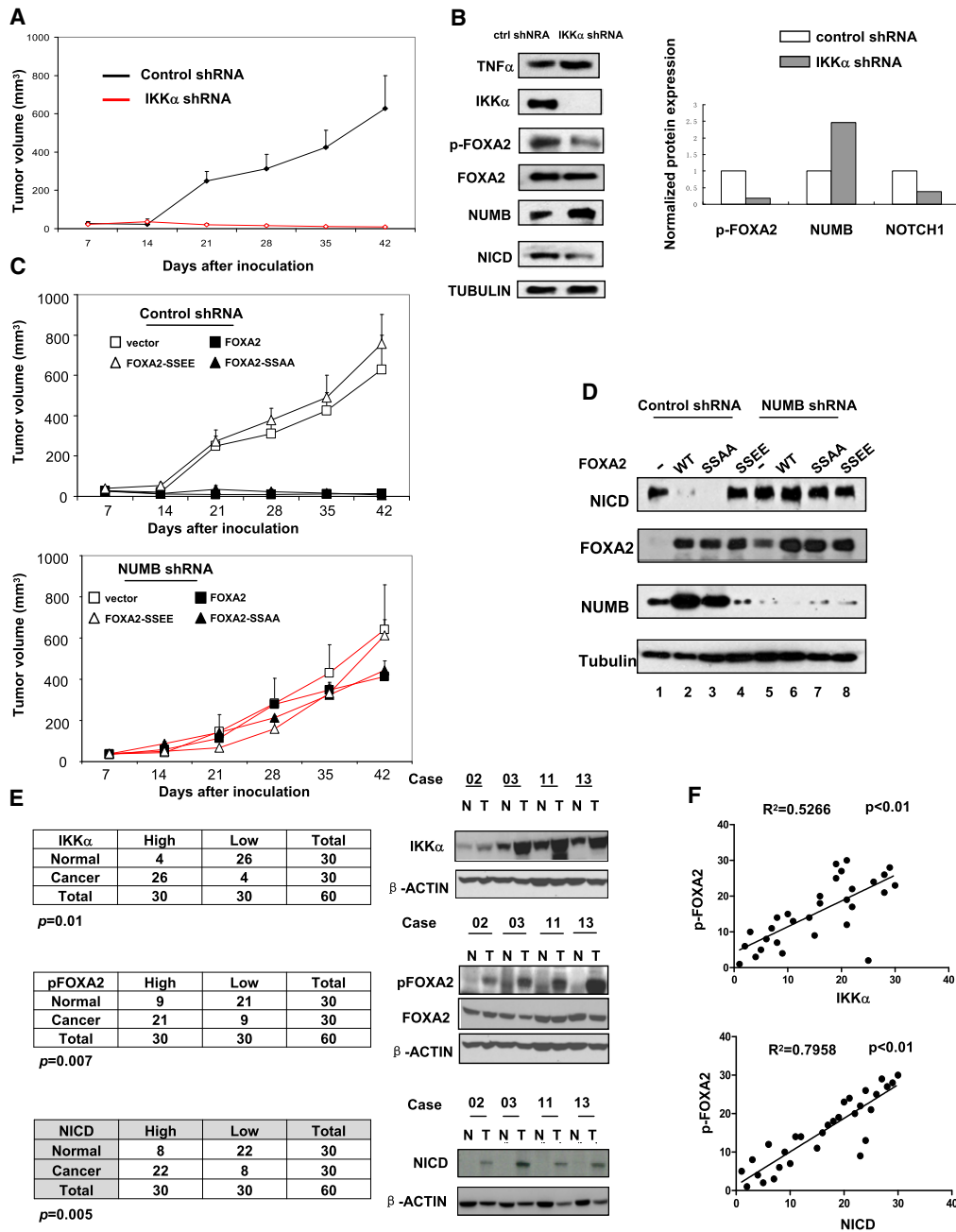


Figure 6. FOXA2 Blocks IKK α -Induced Cell Proliferation and Tumor Growth In Vivo

(A) Hep3B cells were infected with retrovirus expressing control or IKK α shRNA. Mice were injected subcutaneously with 1×10^6 cells. Error bars represent SD ($n = 5$). Please see the [Experimental Procedures](#) for more detailed information.

(B) Tumor tissues from mice that received transplants were analyzed 10 days after transplantation and subjected to western blot analysis for indicated antibodies. (Right) Expression levels of pFOX A2, NUMB, and NOTCH1 quantified with ImageJ software.

(C) Hep3B cells were infected with retrovirus expressing WT FOXA2, FOXA2-SSAA, or FOXA2-SSEE in the presence of (top) control shRNA or (bottom) NUMB shRNA. Error bars represent SD ($n = 5$). Please see the [Experimental Procedures](#) for more detailed information.

(D) Tumor tissues from (C) were harvested 10 days after transplantation and subjected to western blot analysis for NICD, FOXA2, and NUMB. α -tubulin was included as control.

(E) Comparison of pFOX A2 (S107/111), IKK α , and NICD expression in tumors and their adjacent normal tissues. Protein expression was examined by immunoblotting 30 pairs (tumor and normal) of liver tissue samples and quantified with ImageJ software. (Right) Four representative pairs (N, normal; T, tumor). Protein expression levels in tumor samples were normalized to those in paired normal tissues. Protein expression levels higher (or lower) than those in normal tissues were defined as “high” (or “low”) ($p < 0.01$, Pearson’s chi-square test).

(F) Correlation analysis of pFOX A2 and IKK α /NICD expression levels in tumors ($R^2 > 0.5$, Spearman’s rank correlation test).

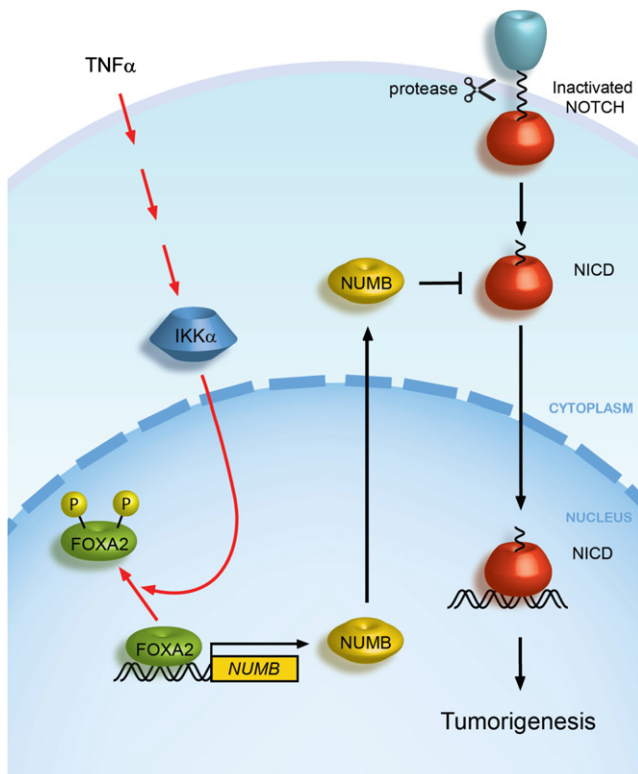


Figure 7. Proposed Model of FOXA2 Phosphorylation by IKK α
FOXA2 phosphorylation by IKK α leads to activation of NOTCH1 signaling through downregulation of NUMB and thereby induces tumorigenesis.

assay. We found that WT FOXA2-suppressed BrdU incorporation was compromised by WT IKK α but not by KD IKK α (Figure S5C). Again, both WT FOXA2 and FOXA2-SSAA, but not FOXA2-SSEE, inhibited cell proliferation, as indicated by the loss of new DNA synthesis (Figure 5F, top). The decrease in new DNA synthesis in the presence of WT FOXA2 or FOXA2-SSAA was reversed by knocking down NUMB (Figure 5F, bottom).

FOXA2 Phosphorylation by IKK α Derepresses FOXA2-Mediated Repression of Cell Growth and Tumorigenesis In Vivo

To further explore the biological function of IKK α -induced FOXA2 phosphorylation in vivo, we injected Hep3B cells into three groups of nude mice using an orthotopic liver cancer animal model (Xiong, et al., 2010). Briefly, we injected Hep3B cells into mice subcutaneously. After the tumor reached 2–3 mm in diameter, it was harvested, dissected into small pieces ($1 \times 1 \times 1 \text{ mm}^3$), and transplanted into the livers of new mice. We monitored the tumor volume (TV) of these mice for 6 weeks and analyzed tumor tissue samples by western blotting. To determine the role of IKK α in liver tumor growth, we injected the first group of mice with Hep3B cells infected with retrovirus expressing shRNA against IKK α . TV was much smaller in the mice harboring tumors (after transplantation) than in the control group, validating the role of IKK α in liver tumor growth (Figure 6A).

Western blot analysis of these tumor tissue samples detected TNF α in tumors, along with decreased FOXA2 phosphorylation, enhanced NUMB expression, and attenuated NICD expression. The second group of mice was injected with cells infected with retrovirus expressing WT or mutant FOXA2, and transplantation was performed essentially as described for the first group. We found that WT FOXA2 and FOXA2-SSAA both inhibited tumor growth, whereas FOXA2-SSEE had no effect (Figure 6C, top). In addition, NUMB expression was increased with a decrease in NICD expression in the WT FOXA2 and FOXA2-SSAA tumors (Figure 6D). Together, these results suggest that FOXA2-SSAA functions in a dominant manner to inhibit tumor growth and support the importance of IKK α in promoting FOXA2-associated HCC development.

Previously (Figures 5B and 5D), we showed that knockdown of NUMB increased cell growth rate and colony formation regardless of FOXA phosphorylation status. To demonstrate the role of NUMB in TNF α /IKK α -induced tumor growth in vivo, we knocked down NUMB by shRNA in Hep3B cells infected with retrovirus expressing WT FOXA2 and its mutants. Mice that received transplanted tumors harboring these NUMB knock-down cells continued to show tumor growth even in the presence of FOXA2 and FOXA2-SSAA, which was probably due to restored NICD expression and is consistent with results from the in vitro assays (Figure 6C, bottom).

In addition, to further examine the aforementioned conclusion that FOXA2 phosphorylation by TNF α -induced IKK α plays a critical role in liver cancer, we examined the expression of IKK α , pFOXA2 (S107/111), and NICD in 30 freshly prepared human primary HCC tumor specimens and paired normal liver tissues by immunoblotting. We found that IKK α , pFOXA2 (S107/111), and NICD expression levels were significantly higher in tumor specimens than in the corresponding normal liver tissues ($p < 0.05$). In addition, pFOXA2 expression was positively correlated with expression of IKK α and NICD. These results strengthen the notion that IKK α regulates FOXA2 and activates NICD through phosphorylation at S107/111 and the physiological implication of pFOXA2 in IKK α -induced tumorigenesis (Figures 6E and 6F and Figure S6). Taken together, analyses of these clinical HCC tumor specimens support the notion that FOXA2 phosphorylation by IKK α might be associated with HCC tumorigenesis.

DISCUSSION

Here, we identified an axis in the TNF α pathway that activates NOTCH1 signaling by suppressing FOXA2 transactivation activity by IKK α , leading to disruption of NUMB expression, which promotes NOTCH1-induced liver cell proliferation and growth (Figure 7).

We demonstrated that TNF α stimulates the NOTCH1 pathway in liver cancer cell lines. Although NOTCH1 has been shown to play a role as an oncogene mainly in leukemia and breast cancer (Pui, 2009; Zardawi et al., 2010), one report demonstrated inhibition of HCC tumor growth through NOTCH1 signaling by induction of cell-cycle arrest and apoptosis (Qi et al., 2003). Several groups that analyzed human HCC clinical tumor specimens indicated a tumor-progressive role of NOTCH1 in HCC (Cantarini

et al., 2006; Gramantieri et al., 2007). More recently, Ning et al. specifically showed that downregulation of NOTCH1 signaling inhibited tumor growth in human HCC in both cell lines and a mouse model (Ning et al., 2009). These studies are in line with our findings that NOTCH1 is activated by TNF α through dysregulation of FOXA2 transactivation activity to promote cell proliferation and growth.

Some FOX subfamilies, such as FOXO, have been linked to tumorigenesis and the progression of certain cancers (Yang et al., 2008). We showed here that a new FOX family member plays an important role in inflammation-induced HCC. The crystal structure of the FOXA2 DNA binding domain was published in 1993; however, the crystal structure of full-length FOXA2 is not yet available. The phosphorylation sites that we identified (S107/111) are located in the domain between TAD and WHD. It will be interesting to investigate how phosphorylation at S107/111 affects the DNA binding activity of FOXA2 and/or its interaction with other transcription factors.

In summary, the identification of FOXA2 as a downstream substrate of IKK α links the TNF α and NOTCH1 signaling pathways and provides an important new starting point for uncovering the molecular basis of TNF α -mediated human tumor growth and identifying potential targets for cancer therapy. Inhibition of FOXA2 phosphorylation or activation of NUMB could have important clinical implications for the treatment or prevention of cancer.

EXPERIMENTAL PROCEDURES

Plasmid Construction and Retroviral Infection

We constructed Myc-FOXA2- and GFP-FOXA2-expressing plasmids by inserting hFOXA2 complementary DNA (cDNA) into pcDNA6 and pCMV-EGFP vectors containing the Myc and GFP tags, respectively. We generated the GST-FOXA2-expressing plasmid by subcloning the FOXA2 fragment into the pGEX-6P-1 GST vector. Different mutant forms of the FOXA2 construct were generated by subcloning the FOXA2 fragment into pcDNA6 and pCMV-EGFP vectors. Mutants were generated using the QuikChange Multi Site-Directed Mutagenesis Kit (Stratagene). The FOXA2 and mutant expression plasmids were constructed into retroviral pBABE-Puro and pBABE-GFP vectors for retroviral infection and expression.

siRNAs

Hep3B and Huh-7 cells were transfected with ON-TARGETplus siRNAs (all from Dharmacon RNA Technologies) by using DharmaFECT Transfection Reagents (T-2001-02).

Cell Culture and Transfection

All cell lines were cultured in Dulbecco's modified Eagle's medium (DMEM)/F12 medium supplemented with 10% fetal bovine serum.

For transient transfection, cells were transfected with DNA by either Lipofectamine with Plus reagent or electroporation.

In Vitro Pull-Down Assay

In vitro pull-down assays were performed as previously described (Lee, et al., 2007). Detail information is described in the [Supplemental Experimental Procedures](#).

Immunoprecipitation, Immunoblotting, and In Vitro Kinase Assay

Immunoprecipitation and immunoblotting assays were performed as previously described (Hu et al., 2004). The in vitro IKK α kinase assay was performed as previously described (Maeda et al., 2000).

Identification of In Vivo Phosphorylation Sites by Mass Spectrometry

After protein gel electrophoresis, bands that corresponded to FOXA2 phosphorylated by IKK α in vivo were identified, excised from gels, and subjected to tryptic digestion. After being isolated by immobilized metal affinity chromatography, the enriched phosphopeptides were analyzed by micro-liquid chromatography/tandem MS.

ChIP and Re-ChIP Assay

ChIP assays were modified from the EZ-ChIP (Upstate) protocol using anti-FOXA2, anti-NOTCH1, and anti-IKK α antibodies. For sequential ChIP, the first immunoprecipitate eluted by anti-FOXA2 was further immunoprecipitated by anti-NOTCH1/anti-IKK α and IgG antibodies. The percentage of the bound DNA was quantified against the original DNA input.

Real-Time PCR

Total RNAs were extracted from cells by using the RNeasy kit (QIAGEN). RNAs were reverse transcribed by using the SuperScript II kit (Invitrogen). Results were analyzed by using the iCycler system (Bio-Rad).

MTT Assay

Cells were plated at 5,000/well for Hep3B cells in 96-well microplates. At different time points, 50 μ l of MTT was added to each well, and incubation was continued for 2 hr. The light absorbance was measured at 570 nm with a multiwell spectrophotometer (Labsystems).

Anchorage-Independent Growth Assay

Anchorage-independent growth of stable transfectants was determined by a previously described method (Ma et al., 2003). Detailed information is described in the [Supplemental Information](#).

BrdU Incorporation Assay

Cell proliferation was assessed by using the cell proliferation enzyme-linked immunosorbent assay (Flow Cytometry Kit, Roche) according to the manufacturer's instructions.

Mouse Model for Tumorigenesis

We performed the tumorigenesis assay for retrovirus-infected cells with both a liver cancer subcutaneous mouse model and an orthotopic mouse model, which has been described elsewhere (Xiong et al., 2010). Cells (1×10^6) were injected subcutaneously. After tumors reached 2–3 mm in diameter, tumors were harvested, dissected into small pieces ($1 \times 1 \times 1$ mm³), and transplanted into the livers of new mice ($n = 5$ per group). TV was calculated according to the formula described by Yaguchi et al.: $TV = 0.5 \times \text{length} \times \text{width}^2$ (Yaguchi et al., 2006).

Immunohistochemical Staining

IHC staining was performed as described previously (Hu et al., 2004). Detailed information is described in the [Supplemental Information](#).

Statistical Analyses

Statistical analyses were performed with Student's *t* test, Spearman's rank correlation test, or Pearson's chi-square test as indicated. $p < 0.05$ was considered statistically significant. $R^2 > 0.5$ was considered statistically correlated.

Animal experiment protocol number is approved by the UT MD Anderson Cancer Institutional Animal Care and Use Committee under protocol number ACUF 06-87-06139. Thirty patient samples are from National Cheng-Kung University Hospital. Thirty patients admitted to National Cheng-Kung University Hospital with HCC who received curative surgery between January 1, 2003, and December 31, 2006, were enrolled, and National Cheng-Kung University Hospital Institutional Review Board approved the protocol.

SUPPLEMENTAL INFORMATION

Supplemental Information includes six figures and Supplemental Experimental Procedures and can be found with this article online at doi:10.1016/j.molcel.2011.11.018.

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